

Plasmacytoid dendritic cells promote HIV-1-induced group 3 innate lymphoid cell depletion

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Group 3 innate lymphoid cells (ILC3s) have demonstrated roles in promoting antibacterial immunity, maintaining epithelial barrier function, and supporting tissue repair. ILC3 alterations are associated with chronic inflammation and inflammatory disease; however, the characteristics and relevant regulatory mechanisms of this cell population in HIV-1 infection are poorly understood due in part to a lack of a robust model. Here, we determined that functional human ILC3s develop in lymphoid organs of humanized mice and that persistent HIV-1 infection in this model depletes ILC3s, as observed in chronic HIV-1-infected patients. In HIV-1-infected mice, effective antiretroviral therapy reversed the loss of ILC3s. HIV-1-dependent reduction of ILC3s required plasmacytoid dendritic cells (pDCs), IFN- λ , and the CD95/FasL pathway, as targeted depletion or blockade of these prevented HIV-1-induced ILC3 depletion *in vivo* and *in vitro*, respectively. Finally, we determined that HIV-1 infection induces CD95 expression on ILC3s via a pDC- and IFN- λ -dependent mechanism that sensitizes ILC3s to undergo CD95/FasL-mediated apoptosis. We conclude that chronic HIV-1 infection depletes ILC3s through pDC activation, induction of IFN- λ , and CD95-mediated apoptosis.

Introduction

Innate lymphoid cells (ILCs) represent a novel family of innate immune cells with lymphoid phenotypes, but lack rearranged antigen receptors (1). ILCs can be divided into 3 groups, based on the expression of specific transcription factors, cell-surface markers, and signature cytokines (2, 3). Group 1 ILCs (ILC1s) have been defined as CD45⁺lineage⁻CD127⁺CD117⁺NKp44⁺ cells and may produce IFN- γ and depend on T-bet for their functions (4). Group 2 ILCs (ILC2s) are a population of lineage⁻CD127⁺CRTH2⁺ cells that preferentially produce type 2 cytokines such as IL-5 and IL-13 and require GATA3 (5). Group 3 ILCs (ILC3s) are lineage⁻CD127⁺CD117⁺, have the potential to produce IL-17 and/or IL-22, and are dependent on ROR γ t (6). ILCs have important effector functions in the early stages of immune responses against inflammation (7, 8), in tissue repair (9, 10), in the anatomical containment of commensals (11), and in maintaining epithelial integrity (12). Altered ILC populations in humans are also associated with the pathogenesis and progression of chronic infections and inflammatory diseases (3, 6, 13, 14).

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ILC subsets exist in various tissues, with preferential tissue-specific residence in human. For instance, ILC1s, including NK cells, mainly exist in fetal gut and liver; ILC2s are most prevalent in human peripheral blood, lung, and skin; and ILC3s are mostly present in human skin tissue, thymus, tonsils, BM, and gut (6, 15–17). Due to limited access to these tissues in humans, the function of these tissue-resident human ILCs in healthy and disease statuses has not been well characterized.

ILC3s are most intensively investigated due to their crucial role in gut immunity and inflammation. For example, gut-resident NKp44⁺ ILC3s can produce IL-22 that signals to epithelial cells to promote their proliferation (7). These ILC3s can also produce B cell-activating factors to support survival and expansion of mature B cells (18) and the chemokine CCL20 to direct the migration of T lymphocytes, B lymphocytes, and ILCs into the gut (19). Gut-resident NKp44⁺ ILC3 cells express MHC class II antigens and can present microbial antigens to gut CD4⁺ T cells and inactivate gut commensal bacteria-specific T cell responses (20). Recently, ILC3s have also been reported to exist in the human spleen, where they interact with stromal cells for survival signals and enhance Ab production by innate-like B cells (17). Although these studies show that ILC3s can directly induce the proliferation and production of antiinflammatory cytokines and antimicrobial peptides of epithelial cells, it has not been addressed which immunological factors influence their survival in human diseases *in vivo*.

The regulation and role of ILC3s in HIV-1 infection, in particular, are poorly understood. It is reported that SIV infection results in a persistent loss of IL-17-producing ILCs, especially in the jejunum (21). Another report has indicated that NKp44⁺

ILC3s are rapidly depleted in the intestinal mucosa during acute SIV infection (22). In human patients chronically infected with HIV-1, reduction of ILC subsets has also been documented (23). However, how HIV-1 infection leads to ILC3 depletion in vivo is poorly understood. We report here that functional human ILC3s were developed in lymphoid organs of humanized mice, but were depleted by persistent HIV-1 infection in vivo, as in chronic HIV-1-infected patients. Interestingly, HIV-1 infection upregulated CD95 expression on ILC3s via plasmacytoid dendritic cells (pDCs) and a type I IFN-dependent (IFN-I-dependent) mechanism and sensitized them to undergo CD95/FasL-mediated apoptosis. The depletion of pDCs or blockade of the IFN-I or CD95/FasL pathway prevented HIV-1-induced ILC3 depletion in vivo and in vitro, respectively. Our findings suggest that modulating pDC/IFN-I and CD95/FasL to rescue ILC3s will likely be of value in preventing or treating HIV-1 diseases.

Results

Depletion of human ILC3s in patients with chronic HIV-1 infection is correlated with HIV-1 disease progression. We measured the peripheral ILC3 frequency in HIV-1-infected antiretroviral treatment-naïve (ART-naïve) patients and healthy control (HC) subjects. Peripheral blood contained lineage⁻CD127⁺CD117⁺ ILC3 subsets in all enrolled subjects (Figure 1A). Importantly, the peripheral ILC3s were significantly decreased in HIV-1-infected patients, in particular, in patients with CD4⁺ T cell counts of less than 400 cells/μl who displayed more severe reduction of ILC3 frequency as compared with that in HC subjects and HIV-1-infected patients with CD4⁺ T cell counts of more than 400 cells/μl (Figure 1, A and B). Correlation analysis indicated that peripheral ILC3 percentages were negatively correlated with plasma HIV-1 viremia in these HIV-1-infected highly active antiretroviral therapy-naïve (HAART-naïve) subjects (Figure 1C). These data indicate that the decline of peripheral ILC3s is correlated with disease progression in HIV-1-infected patients.

As ILC3s are present in mucosal tissues (6), we stained colon tissues from uninfected and HIV-1-infected patients for CD3 and IL-17 or IL-22. We found that CD3⁺IL-17⁺/CD3⁺IL-22⁺ cells (generally defined as Th17 cells) and CD3⁺IL-17⁺/CD3⁺IL-22⁺ (probably ILC3) cells were both present in the mucosa of colon tissue (Figure 1D and Supplemental Figure 1; supplemental material available online with this article; doi:10.1172/JCI82124DS1). Double-staining immunohistochemistry further identified the presence of both CD3⁺IL-17⁺/CD3⁺IL-22⁺ Th17 cells and CD3⁺IL-17⁺/CD3⁺IL-22⁺ ILC3 cells in colon tissues from HIV-negative subjects (Figure 1E). After HIV-1 infection, total IL-17⁺ and IL-22⁺ cells (but not total CD3⁺ T cells) were significantly depleted (Figure 1F), consistent with previous reports documenting the loss of Th17 cells in chronic SIV infection (24, 25). Furthermore, these depleted IL-17⁺ and IL-22⁺ cells in HIV-1-infected colons included not only CD3⁺IL-17⁺/CD3⁺IL-22⁺ Th17 cells, but also CD3⁺IL-17⁺/CD3⁺IL-22⁺ ILC3 cells (Figure 1F). These data suggest that ILC3s, as well as Th17 cells, are depleted in the colon mucosa in HIV-1-infected subjects. As ILC3s are critically involved in the maintenance of the gut barrier function, we further looked at the LPS and sCD14 levels in the serum of HIV-1-infected HAART-naïve patients. Impressively,

we found that serum LPS and sCD14 levels were significantly elevated and negatively correlated with percentages of ILC3 in peripheral blood (Figure 1C).

Development of functional human ILC3 cells in humanized mice. The characteristics and relevant role of ILC3s in HIV-1 infection and pathogenesis are poorly understood, partially due to the lack of a robust model. Immunodeficient mice such as NOD-*Rag2*^{-/-} *Il2rg*^{-/-} (NRG) mice transplanted with human CD34⁺ hematopoietic stem cells can reconstitute all major human myeloid and lymphoid immune subsets. These humanized mice provide a valuable platform for studying the interaction of human tropic viruses with the human immune system in vivo. HIV-1 can infect and replicate efficiently in vivo in humanized mice (26). More importantly, these mice mount specific human immune responses to HIV-1 infection and develop immunopathology similar to that of HIV-1-infected patients, which makes humanized mice a highly relevant model for studying mechanisms of HIV-1 immunopathogenesis and for developing immune-based therapies (27).

We thus took advantage of the well-developed humanized mouse model to investigate the function and role of ILC3 in HIV-1 pathogenesis (28–31). By gating on live human CD45⁺ cells (hCD45⁺Y7-mCD45⁺) with a lymphoid morphology that was negative for common blood lineage markers (Lineage⁻) for hematopoietic precursors (CD34), B cells (CD19 and CD20), T cells (CD3), NK cells (CD16), and myeloid and plasmacytoid cells (CD14, CD11c and CD123), we identified human ILC3s in humanized NOD-*Rag1*^{-/-} *Il2rg*^{-/-} (NRG-hu) mice as hCD45⁺Lin⁻CD127⁺CD117⁺ cells. We analyzed both NKp44⁺ ILC3s (NCR⁻ ILC3s) and NKp44⁺ ILC3s (NCR⁺ ILC3s) (Supplemental Figure 2). We found that ILC3s were efficiently developed in all lymphoid organs of humanized mice including spleen, mesenteric lymph node (mLN), peripheral lymph node (pLN), BM, and peripheral blood lymphocytes (PBL) (Figure 2A). Further analysis indicated that ILC3 subsets were preferentially enriched in mLN and spleen (Figure 2B). These ILC3s showed phenotypic similarity to previously reported human splenic and mucosal ILC3s (7, 17, 19). They expressed the ILC3-specific transcription factor ROR γ t, the NK cell-related molecules CD56, CD161, NKp44, and NKp46, the chemokine CCL20 receptor CCR6, IL-1R1, and the activation marker CD69 as well as CD45RA, but did not express the ILC1-specific transcriptional factor T-bet, the integrin CD103, the NK-associated marker CD94, and CD4, CD8, CD25, or CD38 (Figure 2C). Functionally, these ILC3s were able to produce IL-17a, IL-22, IFN- γ , and IL-13 in response to PMA plus ionomycin (Figure 2D), with preferential production of IL-17a and IL-22 (Figure 2E). In addition, these ILC3s from humanized mice also produced IL-17a and IL-22 in response to IL-23 and IL-1 β stimulation at levels comparable to those of ILC3s from human peripheral blood (Supplemental Figure 3). Thus, human ILC3s with normal phenotype, frequency, and function are developed in the lymphoid organs of NRG-hu HSC mice.

HIV-1 infection leads to the activation of ILC3s in NRG-hu mice in vivo. As in human patients, HIV-1 infection in humanized mice also leads to systemic immune activation and disease progression (32). We tested to determine whether ILC3s were also

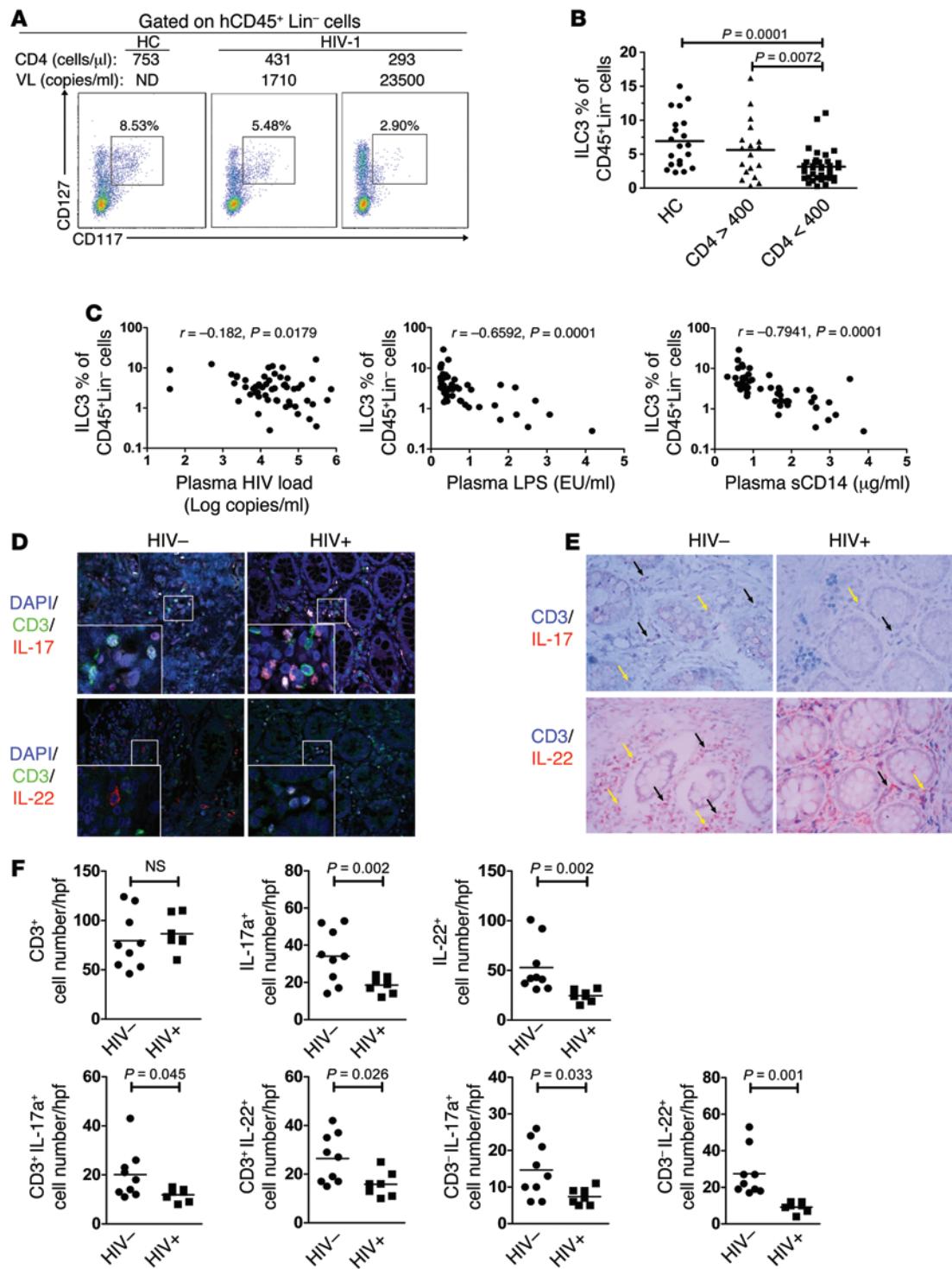


Figure 1. HIV-1 disease progression is associated with depletion of ILC3 cells in human patients. (A) Representative FACS plots show the proportion of ILC3s in peripheral blood of enrolled human subjects. The numbers indicate the percentages of ILC3s (CD127⁺CD117⁻ cells) within CD45⁺Lin⁻ cells. VL, viral load. (B) Summary data of percentages of ILC3s in CD45⁺Lin⁻ cells in HC subjects ($n = 20$), HIV-1-infected patients with CD4⁺ T cell counts of more than 400 cells/ μ l ($n = 18$), and those with CD4⁺ T cell counts of fewer than 400 cells/ μ l ($n = 37$). Overall, $P = 0.003$, 1-way ANOVA; P values shown in the figures are based on Tukey's post-hoc test. (C) Correlation analysis between the percentages of ILC3s and plasma HIV-1 loads, LPS activity, and sCD14 levels (Spearman rank correlation test). r , correlation coefficient. (D) Costaining of CD3 with IL-17 or IL-22 in colon mucosal specimens from HIV-1-negative and HIV-1-positive subjects by confocal microscopy. Original magnification, $\times 400$. Representative staining shows CD3⁺ (green), IL-17⁺, and IL-22⁺ (red) cells. DAPI was used to counterstain nuclear DNA (blue). The inset shows CD3⁺IL-17⁺ or CD3⁺IL-22⁺ Th17 cells and CD3⁺IL-17⁺ or CD3⁺IL-22⁺ ILC3s. (E) Immunohistochemical costaining for mucosal CD3⁺IL-17⁺ Th17 cells (black arrows) and CD3⁺IL-17⁺ ILC3 cells (yellow arrows) in colons of HIV-1-uninfected and HIV-1-infected subjects. hpf, $\times 400$. (F) Numbers of colon mucosal CD3⁺ T cells, Th17 cells, and ILC3 cells are calculated in various subjects (2-tailed unpaired Student's t test).

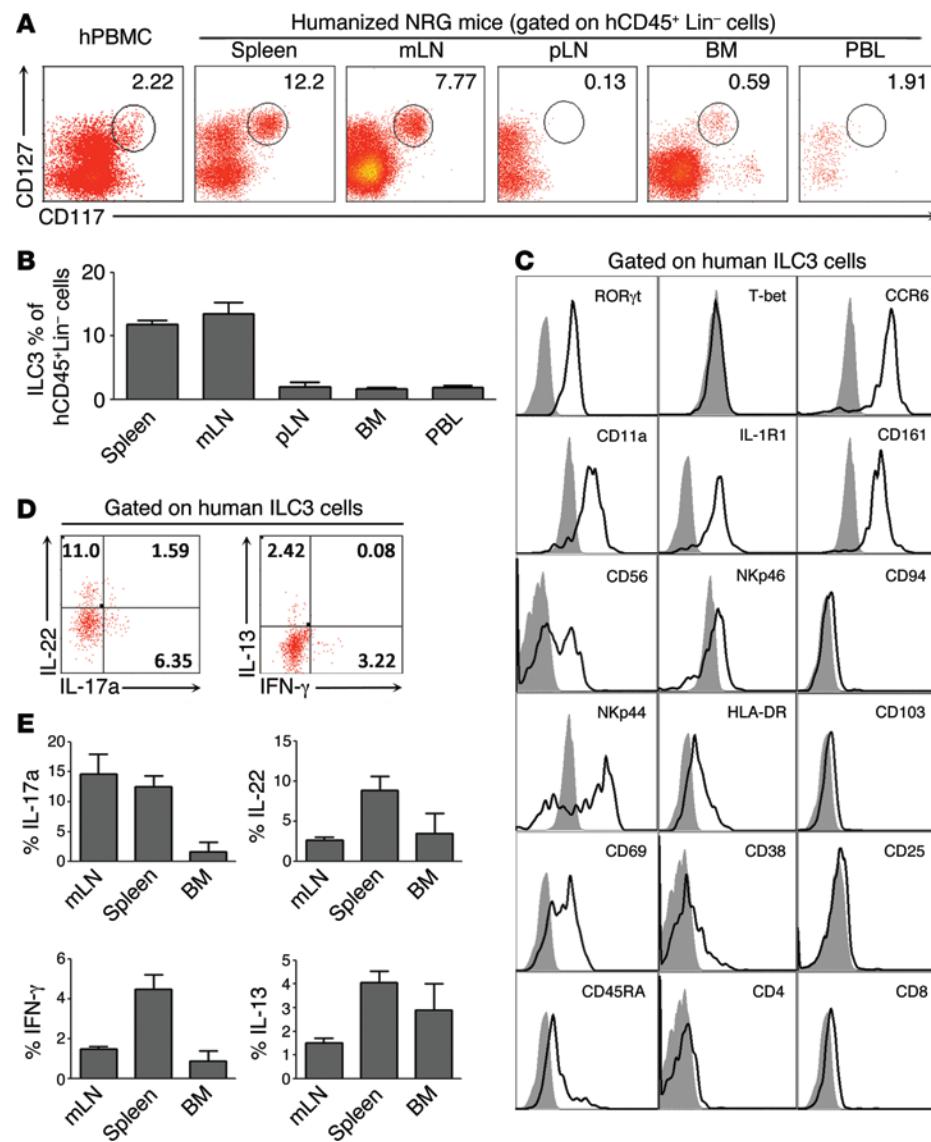


Figure 2. Development of functional ILC3s in lymphoid organs in NRG-hu mice. (A) Representative FACS plots show the distribution of ILC3s (human CD45⁺Lin⁻CD127⁺CD117⁺ cells) in various lymphoid organs of NRG-hu mice. Numbers indicate the percentages of ILC3s within hCD45⁺Lin⁻ cells. (B) Summary data of relative frequency of ILC3s among hCD45⁺Lin⁻ cells. Spleen, $n = 56$; mLN, $n = 11$; pLN, $n = 9$; BM, $n = 20$; PBL, $n = 9$. (C) Expression on splenic hCD45⁺Lin⁻CD127⁺CD117⁺ ILC3s (black lines) of ROR γ t, T-bet, CCR6, CD11a, IL-1R1, CD161, CD56, NKp46, CD94, NKp44, HLA-DR, CD103, CD69, CD38, CD25, CD45RA, CD4, and CD8, assessed by flow cytometry ($n = 4$). Gray shaded curves represent isotype control. (D) Representative FACS plots show the production of IL-17a, IL-22, IFN- γ , and IL-13 by splenic ILC3s (human CD45⁺Lin⁻CD127⁺CD117⁺) from NRG-hu mice stimulated with PMA plus ionomycin for 4 hours. Numbers indicate percentages of cytokine-producing ILC3s. (E) Summary data of relative cytokine-producing ILC3s from mLN ($n = 5$), spleen ($n = 7$), and BM ($n = 5$).

activated by HIV-1 infection in humanized mice through detection of CD38 and CD69 expression on ILC3s in spleen and mLN of NRG-hu mice persistently infected with HIV-1. A significant elevation of both CD69 and CD38 expression was induced on these tissue-resident ILC3s by persistent HIV-1 infection, while combined ART (cART) significantly decreased the expression of these 2 activation markers on ILC3s (Figure 3, A and B). Interestingly, the activation level of tissue-resident ILC3s was positively correlated with CD8⁺ T cell activation indicated by CD38 and HLA-DR expression (Figure 3C). HIV-1 infection thus potentially activated human tissue-resident ILC3s in NRG-hu mice, and their activation was positively correlated with systematic immune activation.

Persistent HIV-1 infection depletes ILC3s and preferentially impairs their activity to produce IL-17a in NRG-hu mice in vivo. We next investigated the effect of persistent HIV-1 infection on tissue-resident ILC3s in NRG-hu mice and found that HIV-1 infection significantly reduced both percentages and numbers of ILC3s in the spleen, mLN, and BM (Figure 4, A and B). Notably,

both NCR⁺ and NCR⁻ ILC3 subsets were similarly depleted (Supplemental Figure 4). When HIV-1 replication was effectively suppressed by cART, ILC3s were completely recovered in HIV-1-infected NRG-hu mice (Figure 4, A and B).

We further analyzed whether persistent HIV-1 infection affected the cytokine-producing activity of ILC3s in vivo (Figure 4C). Our data showed that ILC3s from HIV-1-

infected NRG-hu mice produced less IL-17a but comparable IL-22, IL-13, and IFN- γ in response to PMA plus ionomycin stimulation in vitro. When HIV-1 was suppressed by cART, the IL-17a production by ILC3s was significantly recovered (Figure 4D). We concluded that ILC3s in NRG-hu mice were depleted and preferentially functionally impaired to produce IL-17a by HIV-1 infection, which can be recovered by cART.

The depletion of ILC3s by HIV-1 infection is dependent on pDCs and IFN- γ activity. We recently reported that depletion of pDCs using the anti-blood dendritic cell antigen-2 (anti-BDCA2) Ab (15B) in NRG-hu mice led to elevated HIV-1 replication, but with reduced immunopathogenesis (28). In humanized mice with persistent HIV-1 infection, we detected high levels of plasma IFN- α , although pDC levels were reduced in the spleen (Figure 5A). Consistent with our previous study, IFN- α induction was abrogated either by inhibiting HIV-1 replication with cART or by depleting pDC with the 15B mAb in persistently HIV-infected NRG-hu mice (Figure 5B) and was correlated with reduced immunopathogenesis (Figure 5, C-F), as indicated by the elevat-

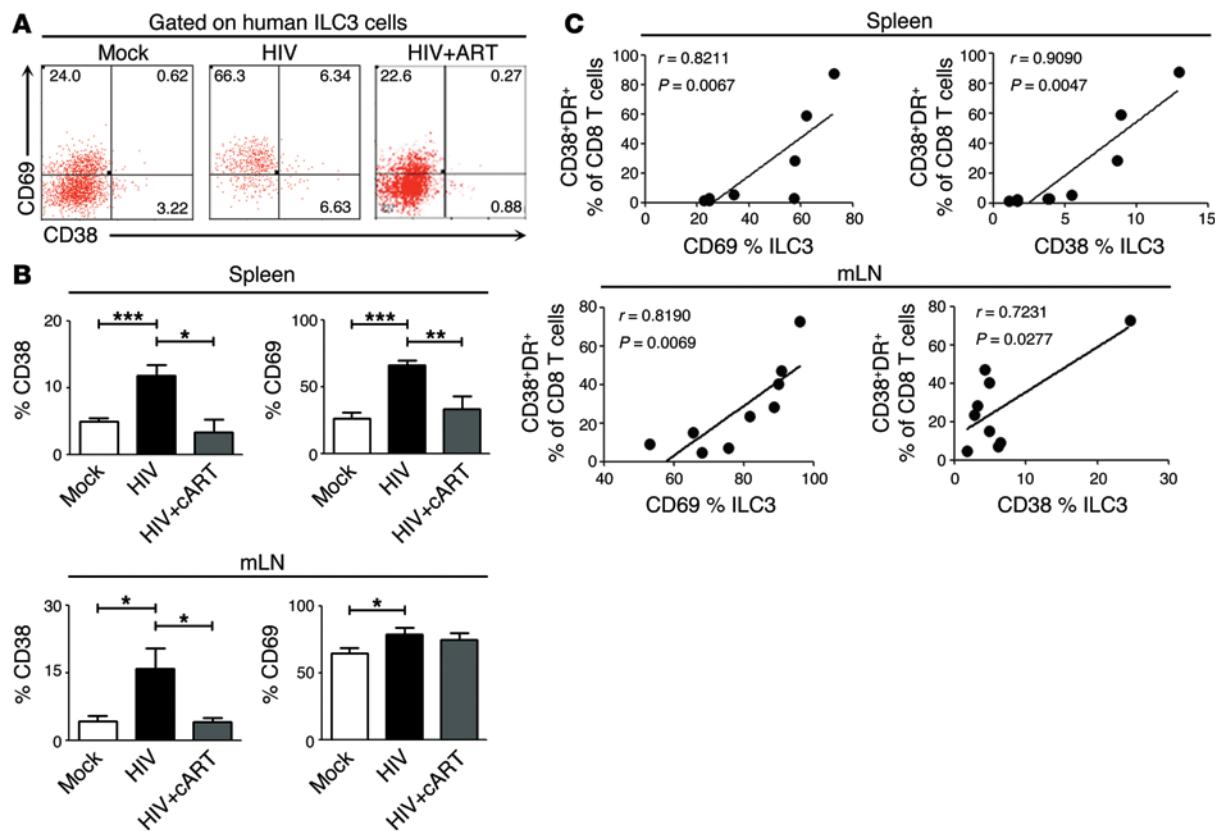


Figure 3. Activation of tissue-resident ILC3s is associated with persistent HIV-1 infection and with systematic immune activation in NRG-hu mice.
 NRG-hu mice were infected with JR-CSF (10 ng p24/mouse, i.v.). After HIV-1 established persistent infection at 8 wpi, combined antiretroviral drugs (cART, PI + NNRTI, each 100 mg/kg) were administered for 4 to 6 weeks. The mice were then terminated, and cells were analyzed. (A) Representative FACS plots show expression of activation markers CD69 and HLA-DR on splenic ILC3s from NRG-hu mice. The numbers indicate percentages of ILC3-expressing CD69 or HLA-DR. (B) Summary data of CD69 and HLA-DR on ILC3s from spleen and mLN in mock ($n = 9$), HIV-1-infected ($n = 9$), and HIV-1-infected NRG-hu mice with cART ($n = 4$). Data are representative of 3 independent experiments with 1 to 3 donors. Overall, for percentages of ILC3s for spleen, $P = 0.0004$ (CD38); $P = 0.0003$ (CD69). Overall, for percentages of ILC3s from mLN, $P = 0.0473$ (CD38); and $P = 0.0902$ (CD69), 1-way ANOVA. * $P < 0.05$; ** $P < 0.01$; *** $P < 0.001$, Tukey's post-hoc test. (C) Correlation analysis between the percentages of CD8⁺ T cells expressing CD38 and HLA-DR and the percentages of ILC3s expressing CD38 or CD69 (Pearson correlation test).

ed human CD45⁺ cell counts and CD4⁺ and CD8⁺ T cell counts (Figure 5, D–F). Interestingly, the percentage of ILC3s was negatively correlated with pDC levels in lymphoid organs of NRG-hu mice with persistent HIV-1 infection (Supplemental Figure 5).

The data above suggest that persistently activated pDCs may contribute to the depletion of ILC3s during HIV-1 infection. We thus tested the role of pDCs in the loss of ILC3 cells through depleting pDCs using the anti-BDCA2 Ab (15B) in NRG-hu mice with persistent HIV-1 infection as reported (28). We observed that pDC depletion with the 15B mAb significantly rescued splenic ILC3s (Figure 6, A and B) and restored their IL-17a and IL-22 production capacity in NRG-hu mice with persistent HIV-1 infection (Figure 6, C and D). We next determined whether HIV-1 infection led to ILC3 cell death. We discovered that HIV-1 infection induced ILC3 apoptosis (upregulation of active caspase-3) and that pDC depletion significantly reduced the percentage of ILC3s expressing active caspase-3 in the spleen of NRG-hu mice with persistent HIV-1 infection (Figure 6, E and F). As a control, pDC depletion did not change the percentage of ILC3s in mock-infected humanized mice (Supplemental Figure 6). These data suggest that pDCs were involved in the depletion

of tissue-resident ILC3s through inducing apoptosis in HIV-1-infected NRG-hu mice.

Sustained IFN- λ production by pDCs has been implicated as contributing to immunopathogenesis by chronic HIV-1 infection (33–36). We thus addressed whether IFN- λ is responsible for HIV-1-induced depletion of ILC3s. We incubated spleen cells from normal NRG-hu mice with the HIV-R3B/A-v1v2 virus, which is characterized by high IFN- λ induction activity (37), in the absence or presence of pDC-depleting Abs or anti-IFN- α/β receptor-blocking Abs. We found that HIV-1 infection significantly increased active caspase-3 expression (apoptosis) in human ILC3s, which was prevented by depletion of pDCs using 15B conjugated with a toxin (15B-SAP) or blockade of the IFN- α/β pathway using anti-IFN- α/β receptor Abs (Figure 6, G and H). Consistently, HIV-1 infection also reduced the number of ILC3s in vitro, which was reversed by depletion of pDCs or blocking IFN- α/β receptors (Figure 6I). As pDCs were the major sources of IFN- λ in response to HIV-1 infection (Supplemental Figure 7), we concluded that HIV-1-induced ILC3 apoptosis was mainly mediated by pDC-derived IFN- λ .

HIV-1 infection induces CD95 expression and apoptosis of ILC3s through pDC/IFN- λ -dependent mechanisms. We next

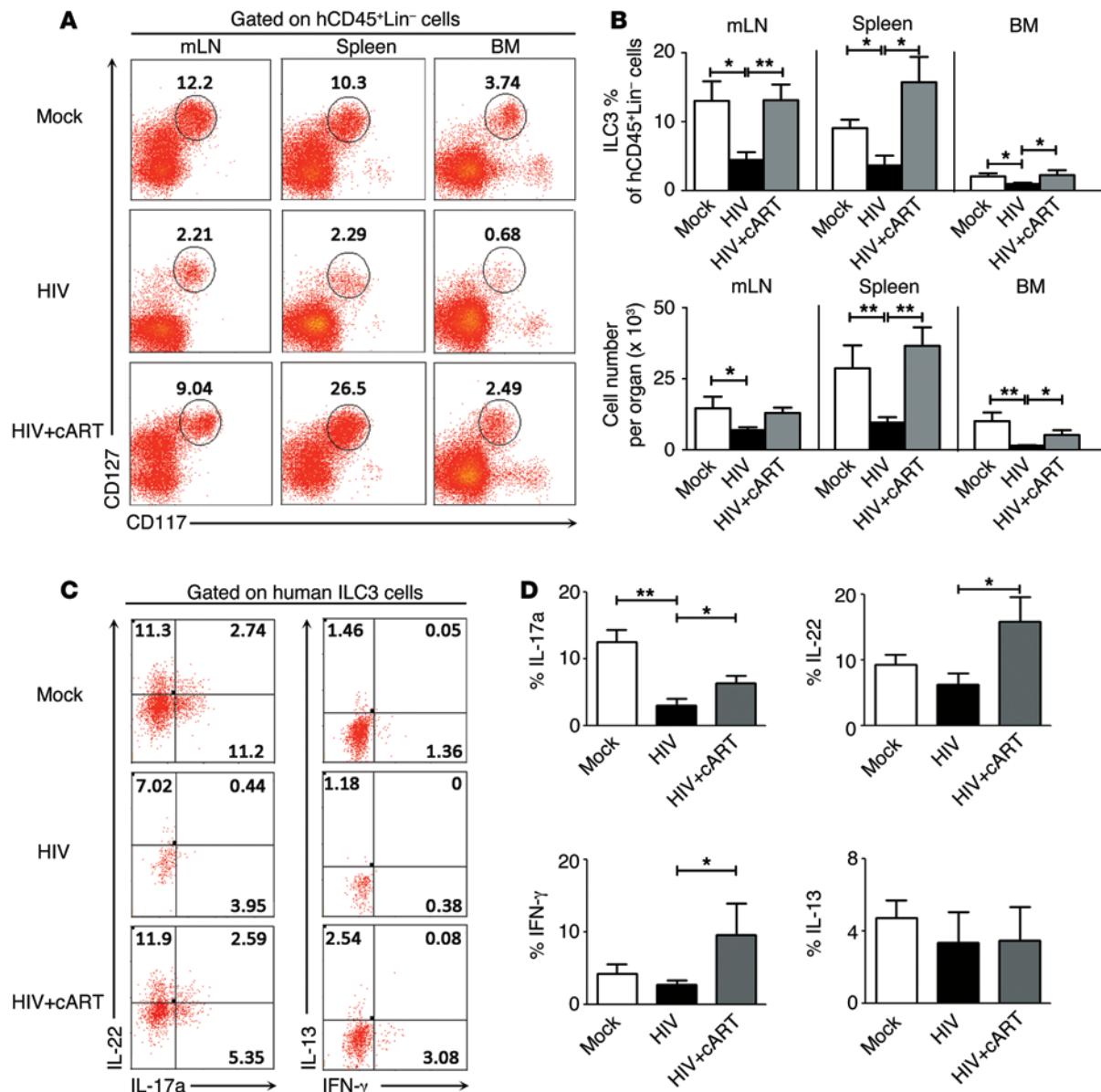


Figure 4. Persistent HIV-1 infection in NRG-hu mice depletes ILC3s and preferentially impairs their activity in IL-17a production. NRG-hu mice were treated as described in the Figure 3 legend. **(A)** Representative FACS plots show the depletion and cART rescue of ILC3s in mLN, spleen, and BM of NRG-hu mice with persistent HIV-1 infection. Numbers indicate percentages of ILC3s within hCD45⁺Lin⁻ cells. **(B)** Summary data of the percentages of ILC3s from mLN, spleen, and BM in mock ($n = 12$), HIV-1-infected ($n = 6$), and HIV-1-infected with cART-treated NRG-hu mice ($n = 8$). Overall, for ILC3 percentages, $P = 0.0237$ (mLN); $P = 0.0088$ (spleen); $P = 0.0178$ (BM). For ILC3 cell counts, $P = 0.0339$ (mLN); $P = 0.0024$ (spleen); $P = 0.0404$ (BM), 1-way ANOVA. * $P < 0.05$; ** $P < 0.01$, Tukey's post-hoc test. Data are representative of 3 independent experiments with 3 to 4 donors. **(C)** Representative FACS plots show IL-17a production by splenic ILC3s stimulated with PMA plus ionomycin for 4 hours. Numbers indicate percentages of cytokine-producing ILC3s. **(D)** Summary data of cytokine-producing ILC3s from spleens of mock-infected ($n = 7$), HIV-1-infected ($n = 5$), and HIV-1-infected with cART-treated NRG-hu mice ($n = 4$). Data are representative of 2 independent experiments with 3 to 4 donors. Overall, for percentages of ILC3s from spleen, $P = 0.0051$ (IL-17); $P = 0.0484$ (IL-22); $P = 0.0921$ (IFN- γ); $P = 0.7237$ (IL-13), 1-way ANOVA. * $P < 0.05$; ** $P < 0.01$, Tukey's post-hoc test.

examined the apoptosis pathway involved in ILC3 depletion that is induced by HIV-1 infection, pDC activation, and IFN- α . IFN- α has been reported to upregulate CD95 expression on human T cells in HIV-1-infected patients (38). We thus investigated the role of CD95-mediated apoptosis in the depletion of ILC3s during HIV-1 infection. We found that expression of CD95 was upregulated on splenic ILC3s in NRG-hu mice with persistent HIV-1 infection. Importantly, we also detected similar upregu-

lation of CD95 on ILC3s isolated from peripheral blood and spleens of HIV-1-infected patients (Figure 7, A and B). We next addressed whether pDCs or IFN- α drives CD95 upregulation on ILC3s upon HIV-1 infection in vitro using spleen cells from humanized mice. Spleen cells from NRG-hu mice were treated with IFN- α or with HIV-1 in the absence or presence of pDC-depleting 15B mAb conjugated with the SAP toxin (immuno-toxin 15B-sap) or the anti-IFN- α / β receptor Ab to block IFN- α

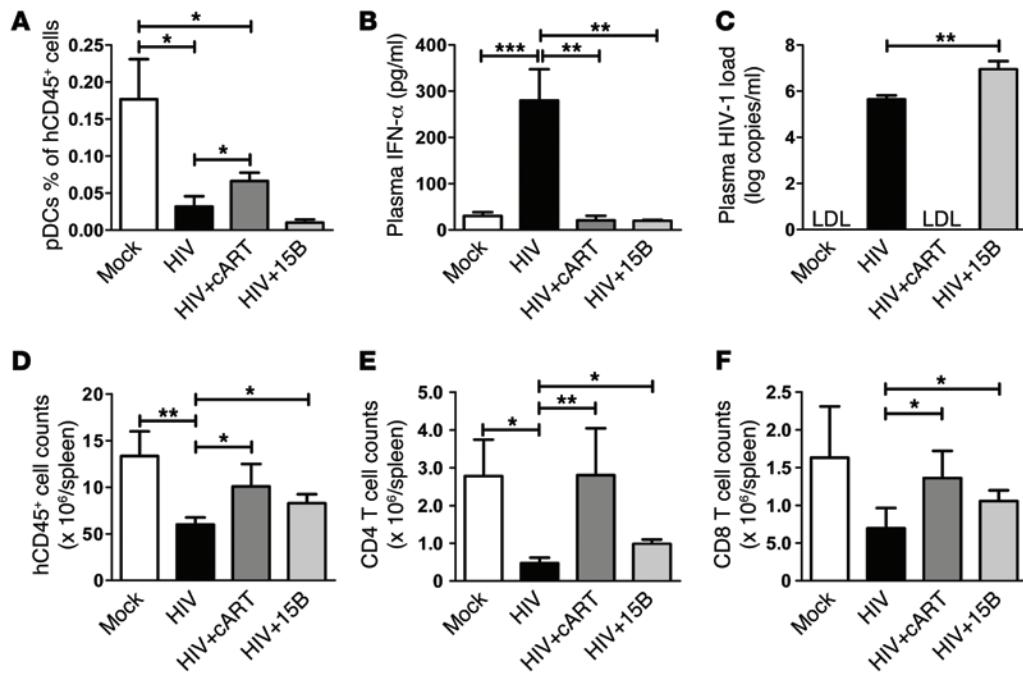


Figure 5. Depletion of pDCs increases HIV-1 replication, but reduces HIV-1-induced immunopathogenesis. Humanized mice were infected with HIV-JRCSF for 8 weeks and were subsequently treated with 15B or cART twice every week for 6 weeks and terminated at 14 wpi (mock, $n = 6$; JR-CSF, $n = 6$; JR-CSF+cART, $n = 8$; JR-CSF+15B, $n = 6$). Splenic pDC percentages (A), plasma IFN- α (B), and HIV-1 genome RNA levels (C), and splenic hCD45⁺ cell counts (D) and CD4⁺ (E) and CD8⁺ T cell counts (F) were analyzed in 4 groups of mice. Data are representative of 3 independent experiments with 3 to 4 donors. Overall, for pDC percentages, $P = 0.0053$; $P < 0.0001$ (plasma IFN- α); $P < 0.0001$ (plasma HIV-1 load); $P = 0.0009$ (splenic hCD45⁺ cell counts); $P < 0.0001$ (CD4⁺ T cell counts); and $P = 0.0022$ (CD8⁺ T cell counts), 1-way ANOVA. * $P < 0.05$; ** $P < 0.01$; *** $P < 0.001$, Tukey's post-hoc test.

activity. IFN- α treatment significantly upregulated CD95 expression on splenic ILC3s. Importantly, HIV-1 also induced a 3-fold upregulation of CD95 expression on ILC3s in splenocytes cultured *in vitro* (Figure 7C). The upregulation of CD95 was at least partially dependent on pDCs or IFN-I, as depletion of pDCs or blockade of the IFN- α / β pathway partially prevented CD95 upregulation on ILC3s (Figure 7C).

We then infected spleen cells from NRG-hu mice *ex vivo* with HIV-1 for 72 hours, followed by 12-hour stimulation with immobilized anti-CD95 Abs. We found that HIV-1-exposed ILC3s showed higher levels of active caspase-3 expression after anti-CD95 treatment (Figure 7D). Importantly, this increase was inhibited by blocking the IFN- α / β pathway using anti-IFN- α / β receptor Abs (Figure 7D), suggesting that pDC-derived IFN-I and the CD95/FasL pathway contribute to the depletion of ILC3s during HIV-1 infection. Similarly, ILC3s from HIV-1-infected patients also displayed higher levels of active caspase-3 expression than ILC3s from HC people after *in vitro* anti-CD95 Ab treatment (Figure 7E). In addition, the blockade of the CD95/FasL pathway using anti-FasL Abs or of the apoptotic pathway using the caspase inhibitor Zvad significantly rescued ILC3s by decreasing apoptosis and by increasing cell numbers *ex vivo* (Figure 7, F-H). Based on these findings, we postulate that activation of pDCs by HIV-1 infection induces the production of type I IFNs, which upregulate CD95 expression and sensitize ILC3s to CD95/FasL-mediated apoptosis (Figure 7I).

Discussion

It has been reported that acute and chronic HIV-1/SIV infection potentially induces the rapid loss of mucosal IL-17/IL-22-producing T cells and ILC3s (21–23), which may both contribute to the loss of intestinal epithelial mucosal integrity (24, 39, 40). However, the mechanism underlying HIV-1-induced depletion of ILC3s is poorly defined. Using a humanized mouse model of HIV-1 pathogenesis, we report here that persistent HIV-1 infection depletes functional human ILC3s in patients and in humanized mice *in vivo* through pDC activation, IFN-I induction, and upregulation of CD95 expression on ILC3s, with great potential significance to the pathogenesis and therapy of this disease.

The role of ILC3s, especially tissue-resident ILC3s, during HIV-1 disease pro-

gression is poorly defined due to a lack of relevant models and limited access to the tissues in humans. Humanized mouse models have been used widely to investigate the mechanisms of HIV-1 immunopathogenesis, including functionally defining the role of regulatory T cells (41), pDCs (30), and other cells (29) in acute and chronic HIV-1 infection. In the present study, we found that human ILC3 subsets were functionally developed in all lymphoid organs in NRG-hu mice and preferentially resided in the spleen and mLN. They displayed molecular markers (42, 43), functions (4), and tissue distribution similar to that seen in humans (7, 17, 19). However, due to the poor gut-associated lymphoid tissue (GALT) development in humanized mice with the common γ -chain mutation, the NRG-hu mouse model has an intrinsic limitation for the study of human immune cells in GALT. Nonetheless, the humanized mouse provides a highly relevant and robust model for studying the development and function of human ILC3s and defining their roles in human diseases *in vivo*.

Using the humanized mouse model, we investigated the effect of persistent HIV-1 infection on human ILC3s in human lymphoid tissues. Our study provides what we believe is the first evidence that HIV-1 infection leads to activation, severe depletion, and preferential impairment of IL-17a production of tissue-resident ILC3s in the spleen, BM, and mLN in humanized mice as well as in the blood and colon mucosa of HIV-1-infected patients. Efficient suppression of HIV-1 by cART in humanized mice reduced ILC3 activation and rescued ILC3s both in num-

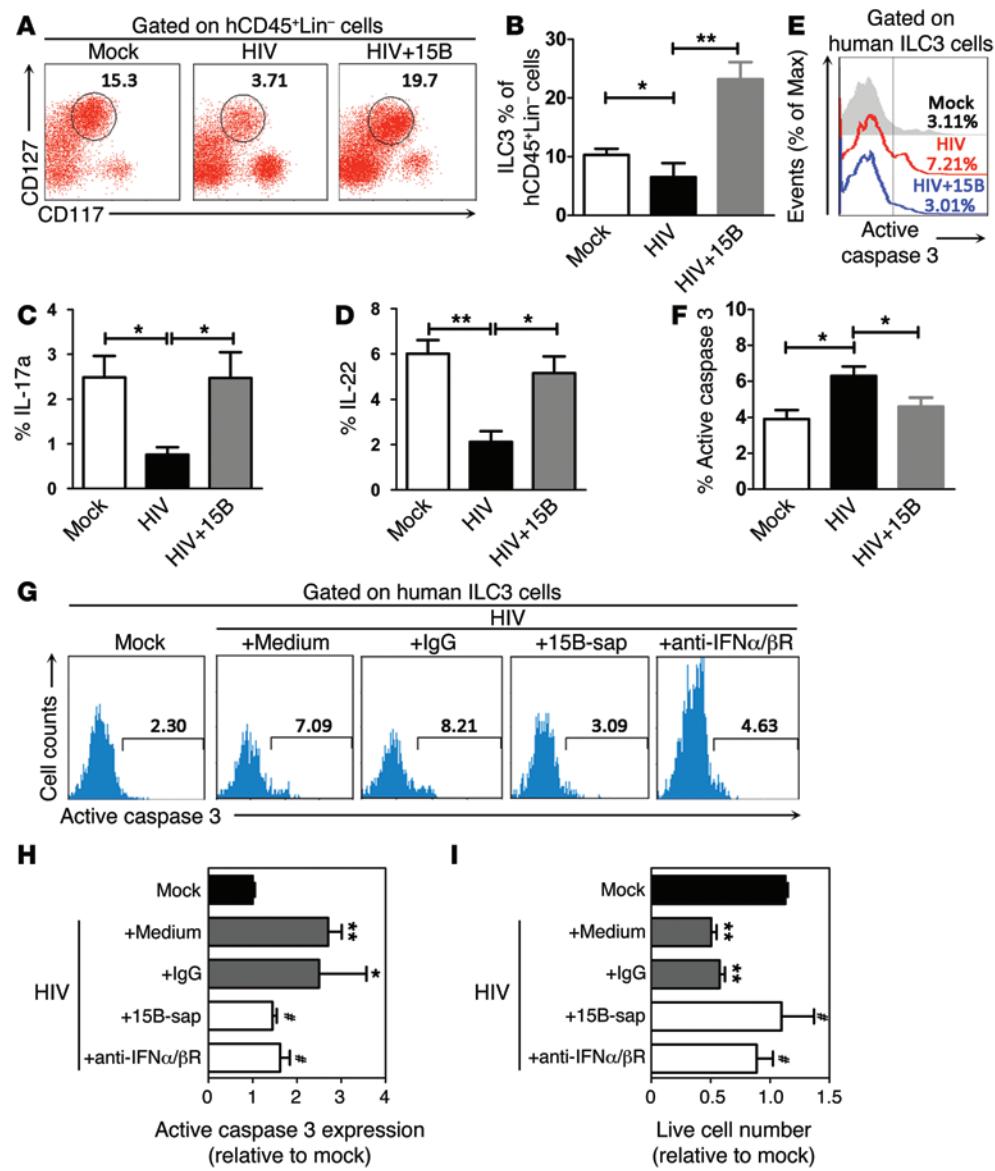


Figure 6. HIV-1 infection leads to ILC3 depletion via pDC- and IFN-I-dependent mechanisms. (A and B) Representative FACS plots (A) and summary data (B) show splenic ILC3 percentages within CD45⁺Lin⁻ cells in mock ($n = 9$), HIV-1-infected ($n = 7$), and HIV-1-infected/pDC-depleted ($n = 9$) NRG-hu mice. Data are representative of 3 independent experiments with 3 donors. Overall, for ILC3 percentages, $P < 0.0001$, 1-way ANOVA. * $P < 0.05$; ** $P < 0.01$, Tukey's post-hoc test. (C and D) Summary data of IL-17a-producing (C) and IL-22-producing (D) ILC3s from spleens of mock ($n = 4$), HIV-1 ($n = 4$), and HIV-1+15B ($n = 5$) mice. Data are representative of 2 independent experiments with 2 donors. Overall, for ILC3 percentages, $P = 0.0039$ (IL-17a); $P = 0.0031$ (IL-22), 1-way ANOVA. * $P < 0.05$; ** $P < 0.01$, Tukey's post-hoc test. (E and F) Representative histograms (E) and summary data (F) show the percentages of active caspase-3-expressing splenic ILC3s within hCD45⁺Lin⁻ cells in mock ($n = 4$), HIV-1-infected ($n = 4$), and HIV-1-infected/pDC depletion ($n = 5$) NRG-hu mice. Overall, $P = 0.0374$, 1-way ANOVA; * $P < 0.05$, Tukey's post-hoc test. Data are representative of 3 independent experiments with 1 to 3 donors. (G-I) Representative histograms (G) and summary data show the percentages of active caspase-3-expressing ILC3 (H) and total live ILC3 cell counts (I) relative to mock. Spleen cells from NRG-hu mice were incubated for 72 hours ex vivo alone (mock) or infected with HIV-1 in the presence of no Ab (medium), IgG, 15B-sap immunotoxin (8 ng/ml), or anti-IFN- α / β receptor (10 μ g/ml) Abs. The percentage of ILC3s expressing active caspase-3 was measured. Data are representative of 2 independent experiments with 2 donors. * $P < 0.05$; ** $P < 0.01$, compared with mock. # $P < 0.05$, compared with HIV+IgG (Tukey's post-hoc test).

ber and in function. IL-17 and IL-22 are important for protection against mucosal bacterial infections and for maintenance of the mucosal barrier by promotion of intestinal epithelial integrity (24, 39, 40). Multiple immune cells, including Th17 cells (44), NKp44⁺ NK22 cells (7, 22, 25), and CD3⁺CD8^{hi} subsets (21), could produce these 2 cytokines. The loss of these cells in chronic HIV-1/SIV infection has been correlated with breakdown of intestinal mucosal integrity, resulting in microbial translocation, chronic immune activation, and disease progression (21, 22, 24, 25, 45–47). The present study extends the notion that the numeral and functional loss of the tissue-resident ILC3s also contribute to the pathogenesis induced by persistent HIV-1 infection. This study, therefore, identifies ILC3s as an important target for therapies aimed at facilitating human immune reconstitution. Future studies need to address the functional role of ILC3s in lymphoid tissue destruction, the fibrosis of lymph organs, and immune activation during persistent HIV-1 infection (48).

The exact mechanism underlying the depletion of ILC3s during HIV-1 infection remains unclear. We have recently reported that pDCs play a pathogenic role in HIV-1 infection and pathogenesis (28). We also demonstrated the role of human pDCs in HIV-1-induced depletion of ILC3s. We discovered that pDCs (and IFN-I) contributed to the loss of ILC3s during chronic HIV-1 infection. First, depletion of pDCs in NRG-hu mice persistently infected with HIV-1 rescued human ILC3s in lymphoid organs. Second, HIV-1-infected splenocytes from humanized mice ex vivo also led to ILC3 death and depletion. As in humanized mice in vivo, either depletion of pDCs or

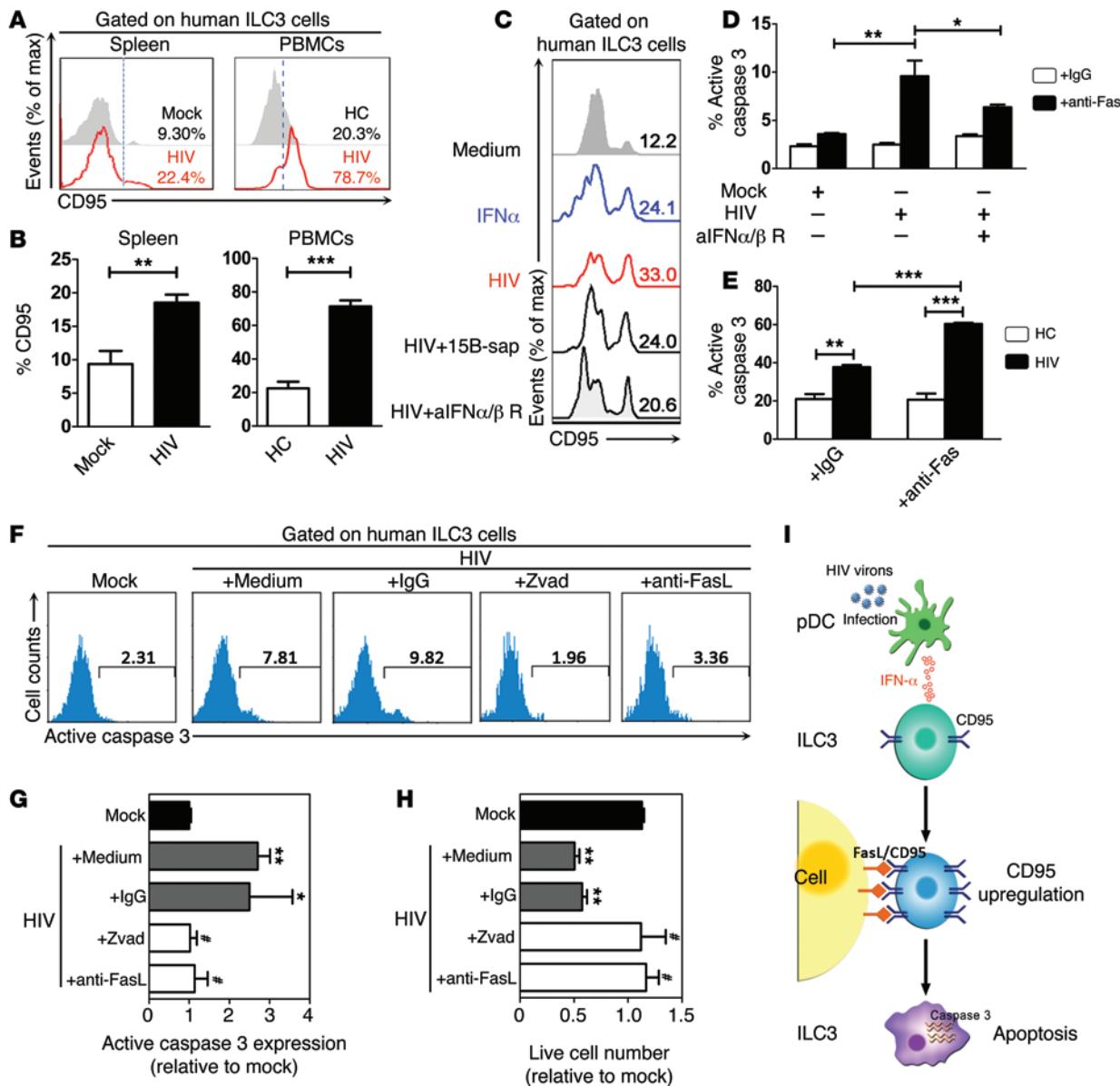


Figure 7. HIV-1 infection upregulates CD95 on ILC3s through pDC/IFN-λ-dependent mechanisms. (A and B) Representative histograms (A) and summary data (B) indicate percentages of CD95-expressing ILC3s in spleens from mock ($n = 4$) and HIV-1-infected ($n = 6$) NRG-hu mice and HC ($n = 10$) and HIV-1-infected patients ($n = 12$). ** $P < 0.01$; *** $P < 0.001$ (unpaired t test). Data are representative of 2 independent experiments with humanized mice from 2 to 3 donor tissues. (C) Representative histograms show percentages of CD95-expressing ILC3s incubated ex vivo for 72 hours alone (gray), with IFN- α (blue line), with HIV-1 alone (red line), or with HIV-1 plus pDC immunotoxin 15B-sap (black line) or anti-IFN- α / β receptor Abs (shade). Data are representative of 3 independent experiments with 2 donors. (D) HIV-1 infection increases the sensitivity of ILC3s to CD95/FasL-induced apoptosis via pDC-produced IFN- λ . Spleen cells from NRG-hu mice were preincubated with mock, HIV-1 alone, or HIV-1 in the presence of anti-IFN- α / β receptor Abs (10 μ g/ml) for 72 hours and then stimulated with plate-coated anti-Fas Abs (5 μ g/ml) for 12 hours. * $P < 0.05$; ** $P < 0.01$, ANOVA with Tukey's post-hoc test. (E) IFN- λ increases the sensitivity of T cells to CD95/Fas-induced death in HIV-1-infected individuals. PBMCs from HC ($n = 5$) and HIV-1-infected subjects ($n = 5$) were stimulated with plate-bound anti-Fas Ab for 6 hours. Cells were then harvested and stained for active caspase-3. ** $P < 0.01$; *** $P < 0.001$, unpaired t test. (F-H) Representative histograms (F) and summary data show percentages of active caspase-3-expressing splenic ILC3s (G) and live ILC3 cell counts (H) relative to mock. Spleen cells from NRG-hu mice were incubated 72 hours ex vivo alone (mock) or with HIV-1 in the presence of no Ab (medium), isotype control Ab (IgG), anti-FasL Ab (10 μ g/ml), or Zvad (50 μ M). The percentage of ILC3s expressing active caspase-3 was measured. * $P < 0.05$; ** $P < 0.01$, compared with mock; * $P < 0.05$, compared with HIV+IgG (Tukey's post-hoc test). Data are representative of 2 independent experiments with 2 donors. (I) A model of HIV-1-induced ILC3 depletion. Activation of pDCs by HIV-1 infection expresses IFN- λ , which upregulates CD95 expression and sensitizes tissue-resident ILC3s to CD95/FasL-mediated apoptosis.

blockade of the IFN-I pathway also efficiently rescued ILC3s from HIV-1-induced apoptosis. Therefore, it is likely that persistent activation of pDCs by HIV-1 infection induces IFN-I expression, which contributes to ILC3 depletion. Interestingly, a recent report suggests that chronic virus infection increases epithelial turnover in multiple tissues through IFN-I-dependent mechanisms (49). We thus postulate that sustained pDC activation and IFN-I production during persistent HIV-1 infection may possibly impair the integrity of the intestinal mucosal barrier by depleting tissue-resident ILC3 cells. It will be of importance to address whether and how pDCs may contribute to HIV-1 pathogenesis in mucosal tissues such as the gut.

We also addressed the question of how pDCs and IFN-I contribute to ILC3 apoptosis during chronic HIV-1 infection. Our data indicate that HIV-1 infection or IFN-I markedly enhances CD95 expression on ILC3s, thus sensitizing ILC3s to undergoing CD95/FasL-mediated apoptosis. Blocking CD95/FasL signaling with anti-FasL mAb, as pDC depletion or IFN-I-signaling blockade, also prevented ILC3 depletion in response to HIV-1 infection. It is interesting that CD4⁺ T cells from HIV-1-infected patients also show increased susceptibility to CD95/FasL-mediated apoptosis (50). Therefore, persistent production of IFN-I by pDCs during chronic HIV-1 infection (51–53) may not only compromise CD4⁺ T cell survival, but may also deplete tissue-resident ILC3s. This study thus reveals the pDC/IFN-I and CD95/FasL axis in mediating HIV-1-induced depletion of human ILC3s, although other death pathways may also be involved in ILC3 depletion (54). Recent reports have also highlighted that microbial and dietary signals can significantly influence intestinal ILC3s (55, 56).

Finally, the depletion of ILC3s may serve as a unique marker of disease progression of chronic HIV-1 infection. This study has revealed a marked association of the depletion of ILC3s with persistent HIV-1 replication, systematic immune hyperactivation, and defective gut barrier function. We also demonstrated that chronic HIV-1 infection may upregulate CD95 expression on ILC3s through pDC-derived IFNs and subsequently induce CD95/FasL-mediated apoptosis of ILC3s. Additional analyses are also required to identify the regulatory pathways that impair IL-17a production by ILC3s in the lymphoid organs. However, it should be noted that depletion of pDCs is a two-edged sword for patients. It helps immune reconstitution, but increases HIV replication. The potential benefit of pDC depletion would be to those HIV-1-infected “immune nonresponder” patients, whose HIV is efficiently suppressed by cART but who still exhibit chronic immune activation and gut barrier impairment and who lack full immune reconstitution.

Taking these data together, we report that chronic HIV-1 infection depletes human ILC3s in lymphoid organs *in vivo* through pDC activation and/or induction of IFN-I, which sensitized ILC3s to undergo CD95/FasL-mediated apoptosis. Our findings suggest that modulating pDC/IFN-I and CD95/FasL to manipulate ILC3s will likely provide an avenue to prevent or treat HIV-1 diseases. The humanized mouse model will also serve as a valuable model to develop therapeutics that target pDC/IFN-I and restore functions of human ILC3s in HIV-1-infected patients.

Methods

Patients and human samples. Fifty-five HIV-1-infected HAART-naïve individuals were enrolled in our study. Based on their CD4⁺ T cell counts, they were divided into 2 groups: a cohort of 18 subjects with CD4⁺ T cell counts of more than 400 cells/ μ l and 37 subjects with CD4⁺ T cell counts of less than 400 cells/ μ l (Supplemental Table 1). The majority of these individuals had been infected with HIV-1 via sexual transmission, while a few subjects were paid blood donors. Twenty uninfected subjects were employed as HCs. Peripheral blood mononuclear cells (PBMCs) were isolated by Ficoll-Hypaque density gradient centrifugation from heparinized blood of enrolled subjects. Paraffin-embedded colon mucosa tissues were available from 7 HIV-1-infected patients and from 9 HIV-negative donors who underwent colon cancer resection.

Construction of humanized mice. We constructed NRG-hu mice similarly to what was previously reported (31). Briefly, human CD34⁺ cells were isolated from 16- to 20-week-old fetal liver tissues. Tissues were digested with liver digest medium (Invitrogen). The suspension was filtered through a 70- μ m cell strainer (BD Falcon) and was centrifuged for 5 minutes to isolate mononuclear cells by Ficoll. After selection with the CD34⁺ magnetic-activated cell sorting (MACS) kit (Human CD34 MicroBead Kit, Miltenyi Biotec), CD34⁺ hematopoietic stem cells were injected into the livers of irradiated (3 Gy) 2- to 6-day-old NRG mice (0.5×10^6 /mouse). More than 95% of the humanized mice were stably reconstituted with human leukocytes in the blood (60%–90% at 12–14 weeks). Each cohort had similar levels of engraftment. All mice were housed at the University of North Carolina at Chapel Hill.

HIV-1 virus stocks and infection of humanized mice. An R5 tropic strain of HIV-1, JR-CSF, was used for persistent HIV-1 infection. Viruses were generated by transfection of 293T cells. Humanized mice with stable human leukocyte reconstitution were infected with JR-CSF at a dose of 10 ng p24/mouse, through intraorbital injection. Humanized mice infected with mock-transfected 293T cell culture supernatant were used as control groups. For the humanized mice with persistent HIV-1 infection, antiviral drugs (PI and NNRTI, each 100 mg/kg body weight) were given orally to some mice twice every week for 4 to 6 weeks. Viral genomic RNA in plasma was measured by real-time PCR (ABI Applied Biosystem). An X4 and R5 dual-tropic strain of HIV-1, R3B/Av1v2 (37), was used for *in vitro* exposure.

Depletion of human pDCs in NRG-hu mice. A mAb specific to BDCA2, clone 15B, was used to deplete pDCs in humanized mice through i.p. injection (4 mg/kg) as previously reported, with minor modifications (28). In brief, for mice with persistent HIV-1 infection, 15B was applied to mice at 8 weeks post infection (wpi) by injecting twice every week for 6 weeks, followed by termination. 15B mAb conjugated with the toxin sap (15B-sap, 8 ng/ml) was used to deplete pDCs *in vitro*.

Tissue processing. Total leukocytes were isolated from PBL, pLN, mLN, spleen, and BM as previously described (28, 30, 41). Lymphoid tissues, including red blood cells, were lysed with ACK buffer, and the leukocytes were stained and fixed with 1% formaldehyde before FACS analysis. Total cell number was quantified by Guava Easycytes with Guava Express software (Guava).

Flow cytometry. Fluorochrome-conjugated Abs or regents from BioLegend, BD Biosciences, eBioscience, and R&D Systems were used in mouse experiments in the study (Supplemental Table 2). For humanized mice, live human leukocytes (Y7⁺mCD45⁺hCD45⁺) were analyzed

for ILC3 subsets and other cell subsets by the CyAn FACS machine (Dako, Beckman Coulter). Live/dead fixable violet dead cell dye (LD7) was purchased from Molecular Probes. Data were analyzed by Summit software. For human PBMCs, CD45⁺ cells were analyzed for phenotypic expression by FACSVerser and data were further analyzed with FlowJo software (TreeStar). Cytokines including IL-2, IL-12, IL-18, IL-1 β , and IL-23 were purchased from PeproTech, and IFN- α was from Roche Pharmaceuticals.

For surface marker staining, leukocytes were incubated with Abs on ice for 30 minutes and then washed and fixed for further analysis. For the staining of HIV-1 gag p24, transcriptional factors, and apoptotic marker active caspase-3, cells were stained with surface marker first and then permeabilized using a Cytofix/Cytoperm kit (BD Bioscience) and stained for intracellular protein.

For intracellular cytokine detection, freshly isolated cells were stimulated for 4 hours with PMA (50 ng/ml; Sigma-Aldrich) and ionomycin (1 μ M; Merck) in the presence of BFA (1 μ M) for culture. Alternatively, the cells were incubated with IL-12 (20 ng/ml) plus IL-18 (20 ng/ml) or IL-1 β (20 ng/ml) plus IL-23 (20 ng/ml) for 12 hours followed by GolgiStop (BD) for an additional 6 hours. The cells were then collected for surface marker staining, followed by cell permeabilization and intracellular cytokine staining.

HIV-1 infection in vitro. R3B/Av1v2 stocks were used in the experiments. Splenic cells from NRG-hu mice were pretreated with an anti-IFN- α / β receptor Ab (10 μ g/ml, Millipore), anti-FasL Ab (NOK-1, 10 μ g/ml, BD Biosciences — Pharmingen), or an isotype control, Zvad (50 μ M, R&D systems) or 15B-sap (8 ng/ml), for 30 minutes on ice. The infectious HIV-R3B/A or mock stock was then added for 2-hour incubation at 37°C. Then the cells were incubated in complete RPMI1640 medium at a density of 2×10^6 cells/ml in the presence of IL-1 β (10 ng/ml), IL-23 (10 ng/ml), and IL-2 (50 IU/ml) for an additional 3 days. Percentages of apoptotic ILC3s (active caspase-3-expressing ILC3s) were determined by flow cytometry as described above. Total live cell number was quantified by Guava Easycyte with Guava Express software.

Apoptosis induction assays. Splenic cells from NRG-hu mice were pretreated with anti-IFN- α / β receptor Ab (10 μ g/ml, Millipore) or 15B-sap (8 ng/ml) for 30 minutes on ice, were stimulated with IFN- α (500 IU/ml, Millipore) or the HIV-R3B/A virus stock for 2 hours, and were then cultured for 72 hours. PBMCs from HC and HIV-1-infected subjects were preincubated with IFN- α (1000 IU/ml) or medium for 16 hours. Then the spleen cells and PBMCs were collected and cultured in complete RPMI (RPMI 1640 containing 10% heat-inactivated fetal bovine serum, 2 mM L-glutamine, 100 U/ml penicillin, and 100 mg/ml streptomycin sulfate, Cellgro) in the presence or absence of plate-bound anti-CD95 mAb (5 μ g/ml, clone CH11, Millipore) for 12 and 6 hours, respectively. Cells were then harvested and stained for active caspase-3.

Quantification of plasma LPS and sCD14. Plasma LPS levels were quantified using the Limulus amebocyte lysate (LAL) assay according to the manufacturer's protocol. Briefly, samples were inactivated for 10 minutes at 75°C and incubated with LAL for 750 minutes at 37°C. Addition of reagents led to formation of a magenta derivative that absorbs light at 570 nm. Plasma-soluble CD14 (sCD14) was quantified by ELISA (R&D Systems).

Immunohistochemistry. Immunohistochemistry was performed on formalin-fixed and paraffin-embedded 4- μ m colon tissue sec-

tions according to our protocols (44). Sections were incubated with primary mAbs, including anti-CD3 and anti-IL-17a (AF1086, R&D) or anti-IL-22 (Ab18499, Abcam). The avidin-biotin-peroxidase system with 3-amino-9-ethyl-carbazole (AEC) (red color) or vector blue (blue color) as substrates was used to perform double staining. CD3-IL-17a⁺ cells or CD3-IL-22⁺ cells in colon mucosa were identified as ILC3s, while CD3⁺IL-17a⁺ cells or CD3⁺IL-22⁺ cells were identified as Th17 cells. The absolute number of ILC3 and Th17 cells was independently counted by 2 pathologists from 3 representative high-power fields (hpf, $\times 400$) relative to the area with the greatest inflammatory infiltrate. In addition, immunofluorescence tristaining was performed as previously reported, with minor modifications (57). Briefly, the tissues were incubated overnight with primary Abs (anti-human CD3, anti-human IL-17, and anti-human IL-22, all diluted 1:10) followed by secondary Abs (rabbit anti-mouse FITC-conjugated IgG Ab, rhodamine-conjugated goat anti-mouse IgG Ab, and DAPI for nucleic acid staining) for 45 minutes at room temperature. Confocal imaging was used to scan the slides.

Statistics. Data were analyzed using GraphPad Prism software version 5.0. Data represent mean \pm SEM. For 2 groups, a 2-tailed, unpaired Student's *t* test was used. For 3 or more groups, 1-way ANOVA was used for primary comparisons among different groups and given an overall *P* value. The secondary comparisons between any 2 different cohorts of mice or patients were performed using Tukey's post-hoc test. Correlations between variables were evaluated using the Pearson correlation test for data from mice and the Spearman rank correlation test for data from humans. Results were considered significant at *P* < 0.05.

Study approval. The study protocol for humans was approved by the Ethics Committee of Beijing 302 Hospital, and written informed consent was obtained from each subject. All animal studies were approved by the University of North Carolina Institutional Animal Care and Use Committee (IACUC).

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